

CONTRACTOR RESPONSIBILITY QUESTIONNAIRE
(Add Additional Pages As Necessary to Answer Questions Fully and Completely)

Name of Bidder: _____

1. In the table below, please provide the names and addresses of all officers of your firm (if any), all individuals or organizations that are general partners in your firm (if any), and all individuals or organizations that own 10% or more of your firm.

NAME	ADDRESS	POSITION/OFFICE	% OWNERSHIP

2. In the table below, please provide the information to identify bidder's affiliates. **For purposes of this questionnaire, persons and entities are affiliates of each other and an affiliation exists if, directly or indirectly, either one controls or has the power to control the other or a third person controls or has the power to control both.** The factors considered to determine control include, but are not limited to: (a) interlocking ownership; (b) common management; (c) identity of interests among family members, *e.g.*, two or more entities controlled by married couples, parents and children, or siblings if the entities conduct business with each other, such as subcontracts or joint ventures or share or provide loans, resources, equipment, locations, ore employees with one another; (d) shared facilities and equipment; (e) common use of employees; or (f) a business entity which has been organized following the suspension, debarment or exclusion of a person or entity which has the same or similar management, ownership, or principal employees as the suspended, debarred or excluded person or entity. An affiliation based on interlocking ownership exists where: (i) a pers (including any individual, concern or other entity) that owns, or has the power to control, 50 percent or more of a concern's voting stock, or a block of voting stock which is large compared to other outstanding blocks of voting stock, controls or has the power to control the concern; or (ii) if two or more persons (including any individual, concern or other entity) each owns, controls, or has the power to control less than 50 percent of a concern's voting stock, and such minority holdings are equal or approximately equal in size, and the aggregate of these minority holdings is large as compared with any other stock holding.

AFFILIATE NAME	ADDRESS	% OWNERSHIP	AFFILIATE'S TYPE OF WORK	AFFILIATE'S RELATIONSHIP TO BIDDER

3. In the last three years has any of the following occurred?

A. The bidder or any of bidder's affiliates filed for bankruptcy? Yes ☐ No ☐ (If yes, explain below.)

B. The bidder or any of bidder's affiliates defaulted on or failed to complete performance of a contract with a federal, state, or local government entity or had a contract with a government entity terminated for cause? Yes ☐ No ☐ (If yes, explain below.)

C. The bidder or any of bidder's affiliates had a surety take over the payment or performance obligations of a contract with a federal, state, or local government entity? Yes ☐ No ☐ (If yes, explain below.)

D. The bidder or any of the bidder's affiliates had any liens, claims, or stop-work orders filed against it in connection with the performance of a contract with a federal, state, or local government entity? Yes ☐ No ☐ (If yes, explain below.)

4. In the last five years has any of the following occurred?

- A. The applicant, an affiliate of the applicant, or a general partner, owner, officer or authorized representative of the applicant (as identified in response to Questions 1 and 2 above) has been suspended, debarred or otherwise excluded from bidding on or participating in any public contract by federal, state, or local government agency. Yes ☐ No ☐ (If yes, explain below.)
- B. The applicant, an affiliate of the applicant, or a general partner, owner, officer or authorized representative of the applicant (as identified in response to Questions 1 and 2 above) been convicted, is currently indictment for, or has been held liable in a civil judgment for any of the following:
- (i) The commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public or private agreement or transaction. Yes ☐ No ☐ (If yes, explain below.)
- (ii) A violation of federal or state antitrust statutes, including those prohibiting price fixing between competitors, allocation of customers between competitors, and bid rigging. Yes ☐ No ☐ (If yes, explain below.)
- (iii) The commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, tax evasion, receiving stolen property, making false claims, or obstruction of justice. Yes ☐ No ☐ (If yes, explain below.)

(iv) The commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, tax evasion, receiving stolen property, making false claims, or obstruction of justice. **Yes** ☐ **No** ☐ **(If yes, explain below.)**

C. The applicant, an affiliate of the applicant, or a general partner, officer, owner, or authorized representative of the applicant (as identified in response to Questions 1 and 2 above) has been convicted of or is currently under indictment for any criminal violation of the Federal Water Pollution Control Act; has been convicted of any criminal violation of the Tennessee Water Quality Control Act; or has been convicted of any criminal violation of any other state's water quality or water pollution control act. **Yes** ☐ **No** ☐ **(If yes, explain below).**

D. The applicant, an affiliate of the applicant, or a general partner, officer, owner, or authorized representative of the applicant (as identified in response to Questions 1 and 2 above) received an enforcement order finding a violation of the Federal Water Pollution Control Act, the Tennessee Water Quality Control Act, or any other state's water quality or water pollution control act. **Yes** ☐ **No** ☐ **(If yes, explain below).**

AFFIDAVIT

I, _____, am _____(Title)
of _____(Name of Bidder), and
hereby certify, under penalty of perjury, that the answers to the foregoing questions in the Contractor
Responsibility Questionnaire and all statements therein contained are true and correct to the best of my
knowledge.

By: _____
Signature of Authorized Employee

The authorized employee, whose signature appears on this document, having personally appeared before
me, and being sworn, deposes and says that the above statements are true and correct.

Sworn to and subscribed before me this _____day of _____, _____

Notary Public

My commission expires _____day of _____, _____